

# LECTURE 8

Friday, February 19, 2016  
9:12 PM

TODAY

## COMMUNICATION COMPLEXITY

- Model
- Example: Equality testing
- Det. Lower bound
- Prob. Communication complexity
- Discrepancy Lower Bound
- Information Theoretic L.B. - Indexing



### Model

Informally:

- Alice has input  $x \in \{0,1\}^n$

- Bob has  $y \in \{0,1\}^n$

- Want to compute joint function

$f(x, y)$

at a distance  $d$

$f(x, y)$  of communication  
- Question how many bits needed?

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Example

$$f(x, y) = EQ(x, y) = \begin{cases} 1 & \text{if } x = y \\ 0 & \text{o.w.} \end{cases}$$

Naive Protocol

- Alice sends  $x \rightarrow$  Bob

Bob sends  $\begin{cases} 1 & \text{if } x = y \\ 0 & \text{o.w.} \end{cases}$

- Complexity =  $n+1$

- Fact: All functions have complexity  $\leq n+1$

- Which functions have complexity  $\Omega(n)$ ?

- Can complexity of EQ? be smaller?

## Model Formally

• Problem:  $f: \{0,1\}^n \times \{0,1\}^n \rightarrow \{0,1,?\}$   
↑  
Sometimes undefined

• Solution = Protocol =  $\Pi = (\pi_1, \dots, \pi_k; g_A, g_B)$

$\pi_i = (z_i \in \{x, y\} \text{ (who speaks)})$

$f_i(z_i, b_1, \dots, b_{i-1})$  (what they say)

• Correctness: If  $b_i = f_i(z_i, b_1, \dots, b_{i-1}) \forall i$   
& then  $g_A(x, b_1, \dots, b_k) = g_B(y, b_1, \dots, b_k) = f(x, y)$

Complexity:  $k \stackrel{\text{def}}{=} \# \text{ bits transmitted}$

## RANDOMIZED COMPLEXITY

### PRIVATE RANDOMNESS:

- Alice/Bob toss coins privately & use that to determine which bits to send.

- Want

$$\forall x, y \quad \Pr_{\$} \left[ g_A(\cdot) \neq f(x, y) \text{ or } g_B(\cdot) \neq f(x, y) \right] \leq \epsilon$$

### PUBLIC RANDOMNESS

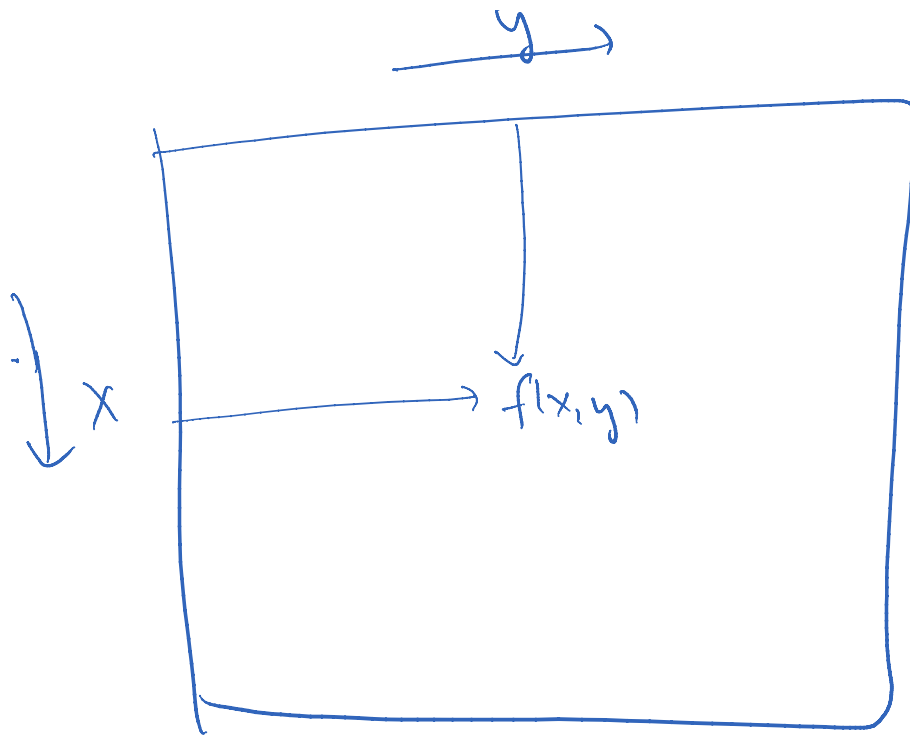
- Alice, Bob  $\leftarrow R$

$$\text{Want } \forall x, y \quad \Pr_R \left[ g_A(\cdot) \neq f(x, y) \text{ or } g_B(\cdot) \neq f(x, y) \right] \leq \epsilon$$

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### Deterministic Lower Bound on EQ

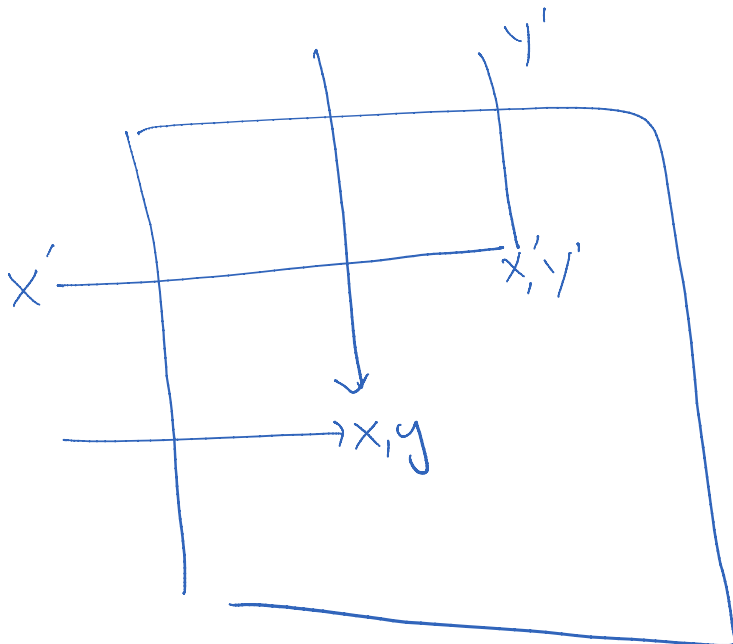
Often think about Comm. Matrix  $M_f$



What does  $M_f$  look like combinatorially /  
linear algebraically



Claim 1 (Rectangle Property)



Protocol transcript =  $t(x,y) = (b_1, \dots, b_k)$

if  $t(x,y) = t(x',y')$

then  $t(x',y) = t(x,y') = t(x,y)$

Consequence

if  $cc(f) \leq k$  then  $M_f$  can be written as  
union of  $2^k$  rectangles each of which is constant  
wrt  $f$ .

$\Rightarrow$

$$\text{Rank}(M_f) \leq 2^k$$

Theorem [1/20]:  $cc(EQ) \geq n$

-  $M_{EQ} = 2^n \times 2^n$  Identity matrix  
1 . . . 1

EQ

$$\text{Rank}(M_{EQ}) = 2^n$$

## Randomized Complexity of EQ

Tool: Error correcting code

Claim:  $\exists E: \{0,1\}^n \rightarrow \{0,1\}^{2n}$

s.t.  $\forall x, y$   $E(x), E(y)$  differ in  
10% of coordinates.

Proof omitted: (take my other course!)

Protocol: Alice & Bob share  $i \leftarrow_U [2n]$

Given  $x, y$

$A \rightarrow B$ :  $E(x)_i$

$B \rightarrow A$ : 1 if  $E(x)_i = E(y)_i$

- 0 o.w.

- complexity = 2 bits

- if  $x=y$  always correct

- if  $x \neq y$  error  $\leq 90\%$ .

- amplify by repeating

Can convert to private randomness with  
Alice picking  $i \in [2n]$  & sending  
 $i, E(x)_i$  to Bob.

PROVING LOWER BOUNDS ON RANDOMIZED  
COMMUNICATION COMPLEXITY

① Use distribution on inputs  
 $(x, y) \sim \mu(\{0,1\}^n \times \{0,1\}^n)$



- if  $\exists$  randomized protocol that achieves error  $\epsilon$ , then  $\exists$  det. protocol that achieves error  $\epsilon$  on  $\mu$ .

- Prove there is no <sup>det.</sup> protocol with error  $\epsilon$  on  $\mu$ .

② Matrix view of distributional complexity

$$\textcircled{2a} \quad f: \{0,1\}^n \times \{0,1\}^n \rightarrow \{-1,1\}$$

$$\textcircled{2b} \quad M_{\mu,f}(x,y) = \mu(x,y) \cdot f(x,y)$$

②c Rectangle:  $R(x,y) \in \{0,1,-1\}$   
function s.t.  $\exists S \subseteq \{0,1\}^n, T \subseteq \{0,1\}^n$   
&  $b \in \{1,-1\}$

$$\text{s.t. } R(x,y) = 0 \quad \text{if } (x,y) \notin S \times T$$
$$= b \quad \text{if } (x,y) \in S \times T$$

2d) Discrepancy of Rectangle  $R$  wrt  $(f, m)$

$$\text{Disc}_{m,f}(R) = \sum_{x,y} M_{m,f}(x,y) \cdot f(x,y)$$

2e) Error of protocol  $\Pi = \epsilon$

$$\Leftrightarrow \sum_t \text{Disc}_{m,f}(R_t) \geq 1 - 2\epsilon \geq \frac{1}{2}$$

[  $R_t =$  Rectangle corr. to transcript  $t$  ]

$\Rightarrow \exists$  rectangle with  $\text{Disc}(R) \geq 2^{-k} \left(\frac{1}{2}\right)$

2f)  $\text{Disc}(m) = \max_{\text{rectangle } R} \text{Disc}_m(R)$

2g) Linear Algebra Upper bound on  $\text{Disc}(m)$

$$\begin{aligned}
 \text{Disc}(M) &\stackrel{\Delta}{=} \max_{\substack{U \in \{0,1\}^{2^n} \\ V \in \{0,1\}^{2^n}}} \{U^T M V\} \\
 &\leq \max_{\substack{u, v \in \mathbb{R}^{2^n} \\ \|u\|_2, \|v\|_2 \leq 2^{n/2}}} \{u^T M v\} \\
 &\leq 2^n \cdot \lambda_{\max}(M) \\
 &\quad \uparrow \\
 &\quad \text{largest singular value of } M
 \end{aligned}$$



PROBLEM with  $\Omega(n)$  lower bound on  
Prob. Comm. Complexity

Inner Product Function

$$x, y \in \{0,1\}^n$$

$$IP(x, y) = \prod_{i=1}^n (-1)^{x_i \cdot y_i}$$

$$\bullet \text{IP}(x, y) = \prod_{i=1}^n (-1)^{x_i \cdot y_i}$$

$$\bullet \mu(x, y) = \text{Unif}(\{0, 1\}^n \times \{0, 1\}^n)$$

$$\bullet M_{\mu, \text{IP}}^n(x, y) = \frac{\prod_{i=1}^n (-1)^{x_i \cdot y_i}}{4^n} = \prod_{i=1}^n \left[ \frac{(-1)^{x_i \cdot y_i}}{4} \right]$$

$$= \left( M_{\mu, \text{IP}}^1 \right)^{\otimes n}$$

$$\bullet \lambda_{\max}(M_{\mu, \text{IP}}^n) = \lambda_{\max}(M_{\mu, \text{IP}}^1)^n$$

$$M_{\mu, \text{IP}}^1 = \begin{bmatrix} 1/4 & 1/4 \\ 1/4 & -1/4 \end{bmatrix}$$

$$\lambda_{\max}(M_{\mu, \text{IP}}^1) = \frac{1}{\sqrt{8}} < \frac{1}{2}$$

$$\Rightarrow \text{Disc}(M_{\mu, \text{IP}}^n) \leq \left( \frac{1}{\sqrt{8}} \right)^n \leq 2^{-n/2}$$

$$\Rightarrow \text{Disc}(M_{\mu, \text{IP}}) \leq \left(\frac{2}{\sqrt{8}}\right)^k \leq 2^{-k}$$

$$\Rightarrow k = \Omega(n) \text{ to get error } < \frac{1}{4}.$$

## Information Theory in Lower Bounds

Simple Example: One-way complexity of Indexing

Problem:  $x \in \{0,1\}^n$ ;  $i \in [n]$   
                  ↓                                  ↓  
                  Alice                              Bob

$$f(x, i) = x_i$$

Two-way Solution:

Bob  $\rightarrow$  Alice:  $i$

Alice  $\rightarrow$  Bob:  $x_i$

$$\text{Complexity} = 1 + \lceil \log n \rceil$$

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## One-way Problem

Only communication allowed: Alice  $\rightarrow$  Bob

Only Bob needs to output  $f(x, y)$

Theorem: One-way Complexity (Indexing) =  $\Omega(n)$

Proof:  $\mu = \text{unif}(\{0, 1\}^n) \times \text{unif}([n])$

- let  $m = m(x)$  be Alice's message to Bob.  
  &  $g = g(m, i)$  be Bob's output.

-  $\Pr_{x, i} [g(m(x), i) = x_i] > \frac{9}{10}$  — (1)

- let  $y = y_1 \dots y_n$  be given by  $y_i = g(m(x), i)$

- ①  $\Rightarrow I(x; Y) = \Omega(n)$

- But  $X \rightarrow m \rightarrow Y$

$\Rightarrow I(x; Y) \leq I(m; Y) \leq |m|$

$\Rightarrow$  ~~total~~ length of  $m = \Omega(n)$

