

## Problem Set 0

Assigned: Tue. Jan. 27, 2014

Due: Fri. Feb. 7, 2014 (5 PM sharp)

- You must *type* your solutions. L<sup>A</sup>T<sub>E</sub>X, Microsoft Word, and plain ascii are all acceptable. Submit your solutions *via email* to `cs221-hw@seas.harvard.edu`. If you use L<sup>A</sup>T<sub>E</sub>X, please submit both the compiled file (`.pdf`) and the source (`.tex`). Please name your files `PS0-yourlastname.*`.
- Strive for clarity and conciseness in your solutions, emphasizing the main ideas over low-level details. Do not despair if you cannot solve all the problems! Difficult problems are included to stimulate your thinking and for your enjoyment, not to overwork you. \*'ed problems are extra credit.

Any students who have not completed CS121 or equivalent with a grade of B+ or higher are *required* to complete this problem set (on time, with no late days). Other students are encouraged to solve the problems for review and submit solutions for feedback.

**Problem 1. (NP-completeness)** In the BOUNDED HALTING problem, we are given a pair  $(M, 1^t)$  where  $M$  is a Turing machine and  $t$  is an integer and have to decide whether there exists an input (of length at most  $t$ ) on which  $M$  halts within  $t$  steps.

Show that BOUNDED HALTING is **NP**-complete.

**Problem 2. (coNP)** Let  $\text{coNP} = \{L : \bar{L} \in \text{NP}\}$ , the class of languages whose complement is in **NP**.

1. Show that a language  $L$  is complete for **NP** iff  $\bar{L}$  is complete for **coNP**. (Here completeness is with respect to poly-time mapping reductions, aka Karp reductions.)
2. Show that if  $\text{NP} \neq \text{coNP}$ , then  $\text{P} \neq \text{NP}$ .
3. Let  $\text{TAUTOLOGY} = \{\phi : \phi \text{ a boolean formula s.t. } \forall a, \phi(a) = 1\}$ . Show that **TAUTOLOGY** is **coNP**-complete.

**Problem 3. (Why Languages?)**

1. Given a function  $f : \Sigma^* \rightarrow \Sigma^*$  such that  $|f(x)| \leq \text{poly}(|x|)$  for all  $x$ , show that there is a language  $L$  such that  $f$  can be computed in poly-time given a black box (i.e. an “oracle”) for deciding  $L$ , and  $L$  can be decided in poly-time given a black box (i.e. an “oracle”) for computing  $f$ . That is,  $f$  and  $L$  are equivalent under Cook reductions.

2. A *search problem* is a mapping  $S$  from strings ("instances") to sets of strings ("valid solutions"). An algorithm  $M$  solves a search problem  $S$  if for every input  $x$  such that  $S(x) \neq \emptyset$ ,  $M(x)$  outputs some solution in  $S(x)$ . An **NP search problem** is a search problem  $S$  such that there exists a polynomial  $p$  and a polynomial-time algorithm  $V$  such that for every  $x, y$ :
- $y \in S(x) \Rightarrow |y| \leq p(|x|)$  and
  - $y \in S(x) \iff V$  accepts  $\langle x, y \rangle$

It is widely believed that there is no polynomial-time algorithm for integer factorization. Under this assumption and also using the fact that PRIMES is in **P**, exhibit two **NP** search problems  $S$  and  $T$  such that the corresponding languages,  $\{x : S(x) \neq \emptyset\}$  and  $\{x : T(x) \neq \emptyset\}$ , are identical yet  $S$  is solvable in polynomial time and  $T$  is not.

3. An **NP optimization problem** is given by a polynomial-time computable *objective function*  $\text{Obj} : \Sigma^* \times \Sigma^* \rightarrow \mathbb{Q}^{\geq 0}$ , where  $\mathbb{Q}^{\geq 0}$  is the set of nonnegative rational numbers and  $\text{Obj}(x, y) = +\infty$  if  $|y| > p(|x|)$  for some polynomial  $p$ . The problem is: given an input  $x$ , find  $y$  minimizing  $\text{Obj}(x, y)$ . An example is the problem of finding the shortest tour in an instance of the TRAVELLING SALESMAN PROBLEM.

Prove that the following are equivalent:

- **P = NP**
- Every **NP** search problem can be solved in polynomial time.
- Every **NP** optimization problem can be solved in polynomial time.