

## Problem Set 4

Assigned: Mar. 22, 2007

Due: Apr. 11, 2007 (1 PM)

- Recall that your problem set solutions must be typed. You can email your solutions to `cs225-hw@eecs.harvard.edu`, or turn in it to Carol Harlow in MD 343. You may write formulas or diagrams by hand. Aim for clarity and conciseness in your solutions, emphasizing the main ideas over low-level details.
- If you use L<sup>A</sup>T<sub>E</sub>X, please submit both the source (`.tex`) and the compiled file (`.ps` or `.pdf`). Name your files `PS4-yourlastname`.
- Starred problems are extra credit.

**Problem 1. (Min-entropy and Statistical Difference)**

- (a). Prove that for every two random variables  $X$  and  $Y$ ,

$$\Delta(X, Y) = \max_f |\mathbb{E}[f(X)] - \mathbb{E}[f(Y)]| = \frac{1}{2} \cdot |X - Y|_1,$$

where the maximum is over all  $[0, 1]$ -valued functions  $f$ . (Hint: first identify the functions  $f$  that maximize  $|\mathbb{E}[f(X)] - \mathbb{E}[f(Y)]|$ .)

- (b). Suppose that  $(W, X)$  are jointly distributed random variables where  $W$  takes values in  $\{0, 1\}^\ell$  and  $(W, X)$  is a  $k$ -source. Show that for every  $\varepsilon > 0$ , with probability at least  $1 - \varepsilon$  over  $w \stackrel{R}{\leftarrow} W$ , we have  $X|_{W=w}$  is a  $(k - \ell - \log(1/\varepsilon))$ -source.
- (c). Suppose that  $X$  is an  $(n - \Delta)$ -source taking values in  $\{0, 1\}^n$ , and we let  $X_1$  consist of the first  $n_1$  bits of  $X$  and  $X_2$  the remaining  $n_2 = n - n_1$  bits. Show that for every  $\varepsilon > 0$ ,  $(X_1, X_2)$  is  $\varepsilon$ -close to some  $(n_1 - \Delta, n_2 - \Delta - \log(1/\varepsilon))$  block source.

**Problem 2. (Extractors vs. Samplers)** One of the problems we have revisited several times is that of randomness-efficient sampling: Given oracle access to a function  $f : \{0, 1\}^m \rightarrow [0, 1]$ , approximate its average value  $\mu(f)$  to within some small additive error. All of the samplers we have seen work as follows: they choose some  $n$  random bits, use these to decide on some  $D$  samples  $z_1, \dots, z_D \in \{0, 1\}^m$ , and output the average of  $f(z_1), \dots, f(z_D)$ . We call such a procedure a  $(\delta, \varepsilon)$ -*(averaging) sampler* if, for any function  $f$ , the probability that the sampler's output differs from  $\mu(f)$  by more than  $\varepsilon$  is at most  $\delta$ . In this problem, we will see that averaging samplers are essentially equivalent to extractors.

Given  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$ , we obtain a sampler  $\text{Smp}$  which chooses  $x \stackrel{R}{\leftarrow} \{0, 1\}^n$ , and uses  $\{\text{Ext}(x, y) : y \in \{0, 1\}^d\}$  as its  $D = 2^d$  samples. Conversely, every sampler  $\text{Smp}$  using  $n$  random bits to produce  $D = 2^d$  samples in  $\{0, 1\}^m$  defines a function  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$ .

- (a). Prove that if Ext is a  $(k - 1, \varepsilon)$ -extractor, then Smp is a  $(2^k/2^n, \varepsilon)$ -averaging sampler.
- (b). Prove that if Smp is a  $(2^k/2^n, \varepsilon)$ -sampler, then Ext is a  $(k + \log(1/\varepsilon), 2\varepsilon)$ -extractor.
- (c). Suppose we are given a constant-error **BPP** algorithm which uses  $r = r(n)$  random bits on inputs of length  $n$ . Show how, using Part (a) and the extractor of Theorem 8 from Lecture Notes 12, we can reduce its error probability to  $2^{-\ell}$  using  $O(r) + \ell$  random bits, for any polynomial  $\ell = \ell(n)$ . (Note that this improves the  $r + O(\ell)$  given by expander walks for  $\ell \gg r$ .) Conclude that every problem in **BPP** has a randomized algorithm which only errs for  $2^{q^{0.01}}$  choices of its  $q$  random bits!

**Problem 3. (Encryption and Deterministic Extraction)** A (one-time) *encryption scheme* with key length  $n$  and message length  $m$  consists of an encryption function  $\text{Enc}: \{0, 1\}^n \times \{0, 1\}^m \rightarrow \{0, 1\}^\ell$  and a decryption function  $\text{Dec}: \{0, 1\}^\ell \times \{0, 1\}^n \rightarrow \{0, 1\}^m$  such that  $\text{Dec}(k, \text{Enc}(k, u)) = u$  for every  $k \in \{0, 1\}^n$  and  $u \in \{0, 1\}^m$ . Let  $K$  be a random variable taking values in  $\{0, 1\}^n$ . We say that  $(\text{Enc}, \text{Dec})$  is (*statistically*)  $\varepsilon$ -secure with respect to  $K$  if for every two messages  $u, v \in \{0, 1\}^m$ , we have  $\Delta(\text{Enc}(K, u), \text{Enc}(K, v)) \leq \varepsilon$ . For example, the *one-time pad*, where  $n = m = \ell$  and  $\text{Enc}(k, u) = k \oplus u = \text{Dec}(k, u)$  is 0-secure (aka perfectly secure) with respect to the uniform distribution  $K = U_m$ . For a class  $\mathcal{C}$  of sources on  $\{0, 1\}^n$ , we say that the encryption scheme  $(\text{Enc}, \text{Dec})$  is  $\varepsilon$ -secure with respect to  $\mathcal{C}$  if  $\text{Enc}$  is  $\varepsilon$ -secure with respect to every  $K \in \mathcal{C}$ .

- (a). Show that if there exists a deterministic  $\varepsilon$ -extractor  $\text{Ext}: \{0, 1\}^n \rightarrow \{0, 1\}^m$  for  $\mathcal{C}$ , then there exists an  $2\varepsilon$ -secure encryption scheme with respect to  $\mathcal{C}$ .
- (b). Conversely, use the following steps to show that if there exists an  $\varepsilon$ -secure encryption scheme  $(\text{Enc}, \text{Dec})$  with respect to  $\mathcal{C}$ , where  $\text{Enc}: \{0, 1\}^n \times \{0, 1\}^m \rightarrow \{0, 1\}^\ell$ , then there exists a deterministic  $2\varepsilon$ -extractor  $\text{Ext}: \{0, 1\}^n \rightarrow \{0, 1\}^{m - 2\log(1/\varepsilon) - O(1)}$  for  $\mathcal{C}$ , provided  $m \geq \log n + 2\log(1/\varepsilon) + O(1)$ .
  - (i) For each fixed key  $k \in \{0, 1\}^n$ , define a source  $X_k$  on  $\{0, 1\}^\ell$  by  $X_k = \text{Enc}(k, U_m)$ , and let  $\mathcal{C}'$  be the class of all these sources (i.e.,  $\mathcal{C}' = \{X_k : k \in \{0, 1\}^n\}$ ). Show that there exists a deterministic  $\varepsilon$ -extractor  $\text{Ext}': \{0, 1\}^\ell \rightarrow \{0, 1\}^{m - 2\log(1/\varepsilon) - O(1)}$  for  $\mathcal{C}'$ , provided  $m \geq \log n + 2\log(1/\varepsilon) + O(1)$ .
  - (ii) Show that if  $\text{Ext}'$  is a deterministic  $\varepsilon$ -extractor for  $\mathcal{C}'$  and  $\text{Enc}$  is  $\varepsilon$ -secure with respect to  $\mathcal{C}$ , then  $\text{Ext}(k) = \text{Ext}'(\text{Enc}(k, 0^m))$  is a deterministic  $2\varepsilon$ -extractor for  $\mathcal{C}$ .

Thus, a class of sources can be used for secure encryption iff it is deterministically extractable.

**Problem 4. (The Building-Block Extractor)** Assume the condenser stated in Theorem 7 from Lecture Notes 12. Show that for every constant  $t > 0$  and all positive integers  $n \geq k$  and all  $\varepsilon > 0$ , there is an *explicit*  $(k, \varepsilon)$ -extractor  $\text{Ext}: \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  with  $m = k/2$  and  $d = k/t + O(\log(n/\varepsilon))$ . (Hint: convert the source into a block source with blocks of length  $k/O(t) + O(\log(n/\varepsilon))$ .)