

## Problem Set 5

Assigned: Tue. Apr. 14, 2009

Due: Wed. Apr. 29, 2009(1 PM)

- Recall that your problem set solutions must be typed. You can email your solutions to `cs225-hw@eecs.harvard.edu`, or turn in it to MD138. You may write formulas or diagrams by hand. Aim for clarity and conciseness in your solutions, emphasizing the main ideas over low-level details.
- If you use  $\text{\LaTeX}$ , please submit both the source (`.tex`) and the compiled file (`.ps`). Name your files `PS5-yourlastname`.
- Starred problems are extra credit.

**Problem 6.2. (Concatenated Codes)** For codes  $\text{Enc}_1 : \{1, \dots, N\} \rightarrow \Sigma_1^{n_1}$  and  $\text{Enc}_2 : \Sigma_1 \rightarrow \Sigma_2^{n_2}$ , their *concatenation*  $\text{Enc} : \{1, \dots, N\} \rightarrow \Sigma_2^{n_1 n_2}$  is defined by

$$\text{Enc}(m) = \text{Enc}_2(\text{Enc}_1(m)_1)\text{Enc}_2(\text{Enc}_1(m)_2) \cdots \text{Enc}_2(\text{Enc}_1(m)_{n_1}).$$

This is typically used as a tool for reducing alphabet size, e.g. with  $\Sigma_2 = \{0, 1\}$ .

1. Prove that if  $\text{Enc}_1$  has minimum distance  $\delta_1$  and  $\text{Enc}_2$  has minimum distance  $\delta_2$ , then  $\text{Enc}$  has minimum distance at least  $\delta_1 \delta_2$ .
2. Prove that if  $\text{Enc}_1$  is  $(1 - \varepsilon_1, \ell_1)$  list-decodable and  $\text{Enc}_2$  is  $(\delta_2, \ell_2)$  list-decodable, then  $\text{Enc}$  is  $((1 - \varepsilon_1 \ell_2) \cdot \delta_2, \ell_1 \ell_2)$  list-decodable.
3. By concatenating a Reed–Solomon code and a Hadamard code, show that for every  $n \in \mathbb{N}$  and  $\varepsilon > 0$ , there is a (fully) explicit code  $\text{Enc} : \{0, 1\}^n \rightarrow \{0, 1\}^{\hat{n}}$  with blocklength  $\hat{n} = O(n^2/\varepsilon^2)$  with minimum distance at least  $1/2 - \varepsilon$ . Furthermore, show that with blocklength  $\hat{n} = \text{poly}(n, 1/\varepsilon)$ , we can obtain a code that is  $(1/2 - \varepsilon, \text{poly}(1/\varepsilon))$  list-decodable in *polynomial time*. (Hint: the inner code can be decoded by brute force.)

**Problem 6.3. (List Decoding implies Unique Decoding for Random Errors)**

1. Suppose that  $\mathcal{C} \subseteq \{0, 1\}^{\hat{n}}$  is a code with minimum distance at least  $1/4$  and rate at most  $\alpha \varepsilon^2$  for a fixed constant  $\alpha > 0$  to be determined below, and we transmit a codeword  $c \in \mathcal{C}$  over a channel in which each bit is flipped with probability  $1/2 - 2\varepsilon$ . Show that if  $\alpha$  is sufficiently small, then with all but exponentially small probability over the errors,  $c$  will be the unique codeword at distance at most  $1/2 - \varepsilon$  from the received word  $r$ .
2. Using Problem 6.2, deduce that for every  $\varepsilon > 0$  and  $n \in \mathbb{N}$ , there is an explicit code of blocklength  $\hat{n} = \text{poly}(n, 1/\varepsilon)$  that can be uniquely decoded from  $(1/2 - 2\varepsilon)$  random errors as above in polynomial time.

3. Suppose that  $\mathcal{C} \subseteq \Sigma^{\hat{n}}$  is a code with minimum distance at least  $1 - \varepsilon$ , alphabet size  $|\Sigma| = q \geq 1/(\alpha\varepsilon^2)$ , and rate at most  $\alpha\varepsilon$  for a fixed constant  $\alpha > 0$  to be determined below, and we transmit a codeword  $c \in \mathcal{C}$  over a channel in which each symbol  $\sigma$  is replaced with a uniformly random symbol from  $\Sigma \setminus \{\sigma\}$  with probability  $1 - 3\varepsilon$ . Show that if  $\alpha$  is sufficiently small, then with all but exponentially small probability over the errors,  $c$  will be the unique codeword at distance at most  $1 - 2\varepsilon$  from the received word  $r$ .

Similar to Part 2, this implies that list-decoding algorithms for distance close to 1 yield unique decoding algorithms for random errors at noise rates close to 1.

**Problem 6.4. (List-decoding Reed–Solomon Codes)**

1. Show that there is a polynomial-time algorithm for list-decoding the Reed-Solomon codes of degree  $d$  over  $\mathbb{F}_q$  up to distance  $1 - \sqrt{2d/q}$ , improving the  $1 - 2\sqrt{d/q}$  bound from lecture. (Hint: do not use fixed upper bounds on the individual degrees of the interpolating polynomial  $Q(X, Y)$ , but rather allow as many monomials as possible.)
2. (\*) Improve the list-decoding radius further to  $1 - \sqrt{d/q}$  by using the ‘multiple-roots’ trick used in Section 6.2.4.

**Problem 6.5. (Codes vs. Hashing)** Given any code  $\text{Enc} : [N] \rightarrow [M]^{\hat{n}}$ , we can obtain a family of hash functions  $\mathcal{H} = \{h_i : [N] \rightarrow [M]\}_{i \in [\hat{n}]}$  defined by  $h_i(x) = \text{Enc}(x)_i$ , and conversely.

1. Show that  $\text{Enc}$  has minimum distance at least  $\delta$  iff  $\mathcal{H}$  has collision probability at most  $1 - \delta$ . That is, for all  $x \neq y \in [N]$ , we have  $\Pr_i[h_i(x) = h_i(y)] \leq 1 - \delta$ . (This is a generalization of the definition of universal hash functions, which correspond to the case that  $\delta = 1 - 1/M$ .)
2. The Leftover Hash Lemma extends to families of functions with low collision probability; specifically if a family  $\mathcal{H}$  with range  $[M]$  has collision probability at most  $(1 + \varepsilon^2)/M$ , then  $\text{Ext}(x, h) = (h, h(x))$  is a  $(k, \varepsilon)$  extractor for  $k = m + 2 \log(1/\varepsilon) + O(1)$ , where  $m = \log M$ . Use this to prove the Johnson Bound for small alphabets: if a code  $\text{Enc} : [N] \rightarrow [M]^{\hat{n}}$  has minimum distance at least  $1 - 1/M - \gamma/M$ , then it is  $(1 - 1/M - \sqrt{\gamma}, O(M/\gamma))$  list-decodable.

**Problem 5. (Limitations on the Seed Length)** Prove that a cryptographic pseudorandom generator cannot have seed length  $\ell(n) = O(\log n)$ . Note where your proof fails if we only require that it is an  $(n^d, 1/n^d)$  pseudorandom generator for a fixed constant  $d$ .