

## Today

- Fortnow's time/space lower bound on SAT.
- PH: Complete problems and a hypothesis.

## Power of Alternation

- Basic notion.
- Captures Time/Space differently.
- Next application shows how powerful it is.

## Fortnow's theorem

For today, will use LIN to mean the class of computations in NEARLY-LINEAR TIME:

$$LIN = \cup_c TIME(n(\log n)^n).$$

- Belief:  $SAT \notin L$ .
- Belief:  $SAT \notin LIN$ .
- Can't prove any of the above.
- Fortnow's theorem: Both can not be false!

## Proof of Fortnow's theorem

- For simplicity we'll prove that if  $SAT \in TIME(n \log n)$  and  $SAT \in L$  then we reach a contradiction.
- Won't give full proof: But rather give main steps, leaving steps as exercises.

## Main ideas

- Alternation simulates small space computations in little time. (Savitch).
- If  $\text{NTIME}(t)$  in  $\text{co-NTIME}(t \log t)$ , then alternation is not powerful.
- Formal contradiction derived from:  
 $\text{ATIME}[a,t] \not\subseteq \text{ATIME}[a-1,t/\log t]$ .

## Fortnow: Step 1

Fact 1: If  $L$  in  $\text{NTIME}(t)$ , and  $x$  of length  $n$ , then can construct SAT instance  $\phi$  of size  $t(n) \log t(n)$  such that  $x$  in  $L$  iff  $\phi$  in SAT.

Reference: a 70's paper of Cook.

Proof: Left as exercise.

## Fortnow: Step 2

Fix  $a(n) = \sqrt{\log n}$ .

Fact 2:  $\text{ATIME}[a,t]$  is contained in  $\text{NTIME}[t (\log t)^{2a}]$

Proof: Induction on #alternations + Fact 1.

## Fortnow: Step 3

Fact 3: If SAT in  $L$ , then  $\text{NTIME}[t (\log t)^{2a}]$  in  $\text{SPACE}(\log t + a \log \log t)$ .

Proof: Padding

## Fortnow: Step 4

Fact 4:  $\text{SPACE}[s]$  in  $\text{ATISP}[b, 2^{(s/b)}, bs]$  in  $\text{ATIME}[b, 2^{(s/b)}]$

Proof: Exercise 3 of PS 1.

## Whither contradiction?

- If we set  $b = a-1$  (approximated by  $a$  in our calculations), then ...
- $\text{ATIME}[a, t]$  is contained in  $\text{ATIME}[b, 2^{(log t + a log log t)}]$ , which is a contradiction.

## Polynomial Hierarchy

Recall definitions

- $\Sigma_i^P$  = Languages accepted by polynomial time bounded ATM starting in existential state with  $i$  alternating quantifiers.
- $\Pi_i^P$  = Languages accepted by polynomial time bounded ATM starting in universal state with  $i$  alternating quantifiers.
- $\text{PH} = \bigcup_{i \geq 1} \Sigma_i^P$ .
- Convention:  $\Sigma_0^P = \Pi_0^P = P$ .
- PH “discovered” by Meyer & Stockmeyer.

## PH: Simple properties

- $\Pi_i^P = \{L | \bar{L} \in \Sigma_i^P\}$ .
- $\Pi_{i-1}^P \subseteq \Sigma_i^P \subseteq \Pi_{i+1}^P$ .
- $\text{PH} = \bigcup_{i \geq 1} \Pi_i^P$ .
- As in assertion “TQBF is complete for PSPACE”, can postpone all computations to the end; and can assume final computation simply verifies if a 3-CNF formula is satisfied.
- $\Sigma_i^P$  Complete problem:  
 $i\text{-QBF} = \{\phi | \exists \mathbf{x}_1 \forall \mathbf{x}_2 \dots \phi(\mathbf{x}_1, \dots, \mathbf{x}_i) = \text{true}\}$ .

- $\Sigma_1^P = NP; \Sigma_{i+1}^P = NP^{\Sigma_i^P}$ .
- $A \in \Sigma_{i+1}^P \Leftrightarrow \exists B \in \Pi_i^P, c < \infty$  s.t.  
 $x \in A \Leftrightarrow \exists y, |y| \leq |x|^c, (x, y) \in B$ .

## A non-trivial theorem

Theorem[Umans '2000]:  $\text{MINDNF}$  is  $\Sigma_2^P$ -complete.

Conjectured since the discovery of PH.

## Why PH interests us

- Good question. Should ask about every class.
- Motivation 1:  $\text{MINDNF}$ . But why consider the entire infinite hierarchy.
- Motivation 2:
  - Tests our ability to work with alternation.
  - We know a lot about quantifiers, but don't know how to eliminate even *one* quantifier!
  - Belief: Can not remove quantifiers!
  - A stronger belief than  $NP \neq P, NP \neq \text{co-NP}$  etc.
  - Many complexity theoretic assertions can be proved under this belief.

## PH collapse hypothesis

Hypothesis: For every  $i$ ,  $\Sigma_i^P \neq \Pi_i^P$ .

Proposition: For  $i \leq j$ ,  
 $\Sigma_i^P = \Pi_i^P \Rightarrow \Sigma_j^P = \Pi_j^P = \Sigma_i^P = \Pi_i^P$ .

Proof:

- By induction on  $j$ . True for  $j = i$ . Let  $j > i$  and assume true for  $j - 1$ .
- Let  $A \in \Sigma_j^P$  and let  $B \in \Pi_{j-1}^P$  s.t.  
 $x \in A \Leftrightarrow \exists y$  s.t.  $(x, y) \in B$ .
- By induction  $B \in \Sigma_i^P$  and so  $\exists C \in \Pi_{i-1}^P$  s.t.  $(x, y) \in B \Leftrightarrow \exists z$  s.t.  $(x, y, z) \in C$ .
- So  $x \in A$  iff  $\exists y, z$  s.t.  $(x, y, z) \in C$ . Thus  $A \in \Sigma_i^P$ .