

# ST06 LECTURE 14

Note Title

4/4/2006

Today:

Error Exponents in BSC decoding

First: a review of course so far...

Phase I: Entropy, Mutual Information, ...

(Tools to be used later)

Phase II: Source Coding

"How to compress source nicely"

AEP, Shannon Coding, Kraft's Inequality

Huffman, Lempel-Ziv coding.

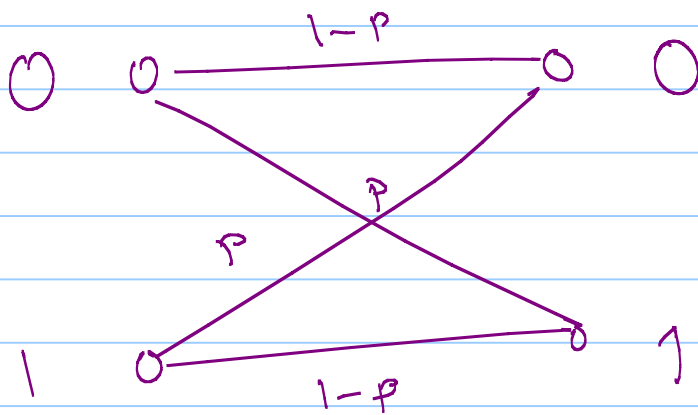
Phase III: Channel Coding:

"How to protect against channel infidelity?"

Channel Capacity, Random Coding,  
MLD Decoding, Joint AEP, Coding Form  
Converse.

Today: Closer look at the BSC.

What?



Know: Capacity =  $1 - H(p)$

where  $H(p) = p \log \frac{1}{p} + (1-p) \log \frac{1}{1-p}$ .

Implies: can map  $E: \mathbb{R}^n$  bits  $\rightarrow$   $n$  bits

$$\text{At. } P_{\text{err}} \stackrel{\Delta}{=} \Pr \left[ \mathcal{D}(\text{Channel}(E(x))) \neq x \right] \rightarrow 0$$

provided  $R < C$ .

So how does  $P_{\text{err}}$  grow with  $R, C, n$ ?

Easy to see  $P_{\text{err}} = \exp(-n)$  if  $R < C$

Question: if  $P_{\text{err}} = 2^{-E_c(R) \cdot n}$  then

what is  $E_c(R)$ ?

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Why?

Recall: Channel coding is about

"improving" reliability

(not making channel error-free).

So how much does reliability improve?

Phase 0:

Why exponential error?

Observation 1:

if code has two codewords, then

$$P_{\text{err}} \geq C^{-n} \quad [\geq P^n!]$$

Observation 2:

Recall random coding + analysis

- code picks  $E(1) \dots E(2^{kn})$  at random  
from  $\{0,1\}^n$ .

- Decode 1:

Given  $y \in \{0,1\}^n$  output

$m$  st.  $P_r [y | E(m)]$  is maximum.

- Decode 2:

- Pick threshold  $\tau \in [p, H^{-1}(1-R)]$

- if  $\exists!$   $m$  st.

$$\Delta(y, E(m)) \leq \tau \cdot n$$

then output  $m$ , else output ERROR.

Analysis (of Decode 2)

Error (type 1):

transmit  $E(m)$  but  $\Delta(y, E(m)) > \tau \cdot n$

$$P_r [\text{type 1 error}] \rightarrow 0 \quad \text{if } \tau > p.$$

[Chernoff bound]...

Error (type 2):

$$\Delta(E(m'), y) \leq T \cdot n \quad \text{for some } m' \neq m$$

$$\Pr[\text{type 2 error}] \rightarrow 0$$

$$[\text{provided } H(\pi) + R < 1]$$

Question: How fast do these quantities go to zero?

$$\Pr[\text{type 1}]$$

$$= \sum_{i=0}^n \binom{n}{i} p^i (1-p)^{n-i}$$

$$\approx \binom{n}{\tau n} \cdot p^{\tau n} (1-p)^{(1-\tau)n}$$

$$= 2^{-n \left[ \tau \log \frac{1}{\tau} + (1-\tau) \log \frac{1}{1-\tau} + \tau \log p + (1-\tau) \log (1-p) \right]}$$

$$= 2^{-n \left[ \tau \log \frac{\tau}{p} + (1-\tau) \log \frac{(1-\tau)}{1-p} \right]}$$

$$= 2^{-D(\tau \| p) \cdot n} \left[ \begin{array}{l} \text{Abusing notation} \\ \tau \equiv \text{Bern}(\tau) \\ p \equiv \text{Bern}(p) \end{array} \right]$$

$$\boxed{P_T[\text{type 1 error}] \approx 2^{-D(\tau \| p) \cdot n}}$$

[Note: has nothing to do with code ;  
But element only of our analysis]

## Type II

Pr [type 2 error]

$$= 1 - \left( 1 - \frac{\sum_{i=0}^{Rn} \binom{n}{i}}{2^n} \right)^{2^{Rn} - 1}$$

[Only for  
random  
code!]

$$\approx 1 - \left( 1 - 2^{-(H(\pi) - 1)n} \right)^{2^{Rn}}$$

$$\approx 1 - \left( 1 - 2^{Rn} \cdot 2^{-(H(\pi) - 1)n} \right)$$

$$\approx 2^{(R + H(\pi) - 1)n}$$



## Putting things together

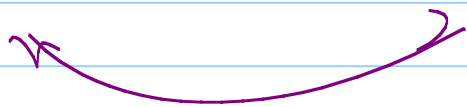
Error occurs if  $\exists T$  such that

$$\Delta(y, E(m)) > T \cdot n \quad (\text{Type I, } T)$$

AND  $\exists m'$  s.t.  $\Delta(y, E(m')) \leq T \cdot n$

(Type II,  $T$ )

$$\max_T \left[ \begin{array}{l} \text{Type I error} \\ \text{\underline{\underline{Type 2 error}}} \end{array} \right] \leq P_{\text{err}} \leq \min_T \left[ \begin{array}{l} \text{Type I error} \\ \text{\underline{\underline{Type II error}}} \end{array} \right]$$



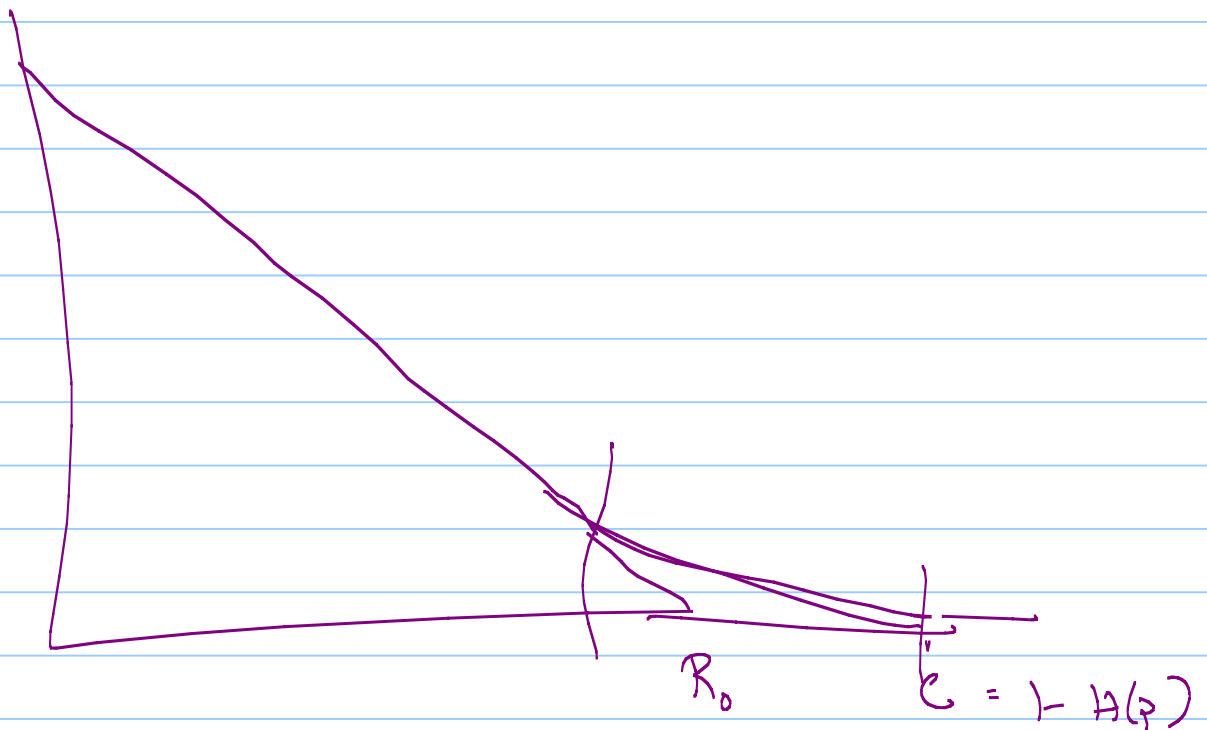
(within factor of  $(n+1)$  of each other)

$$P_{\text{err}} \approx \max_T \left\{ 2^{-D(T||\mathcal{Y})n} \cdot 2^{(R+H(T)-1)n} \right\}$$

Error Exponent [for random coding]

$$E_{RCE}(R) = \min_{p \leq T \leq H^{-1}(1-R)} \{ D(T|p) + D(T||\frac{1}{2}) - R \}$$

Plot of  $E_{RCE}(R)$  for  $p = .007$



So what does  $E_{\text{RCE}}(R)$  look like?

linear in  $R$  for  $R \leq R_{\text{crit}}$

convex for  $R_{\text{crit}} \leq R \leq C$ .

$R_{\text{crit}} = ?$

linear = ?

convex = ?

$R=0$  ? want  $D'(T \| p) = D'(T \| \frac{1}{2})$

optimization yields  $\frac{T}{1-T} = \frac{\sqrt{p}}{\sqrt{1-p}}$

maximize  $D(T||p) + D(T||\frac{1}{2})$

maximized at  $T = H^{-1}(1-R)$

$$\text{or at } \frac{T}{1-T} = \sqrt{\frac{p}{1-p}} \quad [T_{\text{crit}}]$$

[ if  $R \ll 1 - H(p)$  then  $T_{\text{crit}}$  is  
bottleneck

if  $R \rightarrow 1 - H(p)$  then  $T_{\text{crit}}$  is  
not bottleneck ]

if  $T_{\text{crit}}$  is bottleneck

$$\text{thus } E(R) = R_0 - R \quad R_0 = D(T||p) + D(T||\frac{1}{2})$$

$$R_0 = 1 - \log(1 + 2\sqrt{p(1-p)})$$

if  $T_{\text{nit}}$

$$E(R) = D(R \| p) + D(R \| \frac{1}{2}) - R$$

### Observations

Above analysis focused on

- ① Random Coding
- ② "Typical Decoding"

⇒ Yields "lower bound" on error exponent.

But can reverse most steps for

"random code" to say it is the  
right exponent for random codes.

But is it the right exponent for BSC?  
(best code & best decoding?)

NO: Expurgated codes.

- Pick random codes
- Throw away some "bad words"
- Achieve better exponent for  
small  $R$ .

What is the best exponent?

Unknown.

See

Burg & Forney

IEEE  $\tau$ , vol. 48 no. 9,

Sept. 2002

for more