

# CS 121: Lecture 24

## Intro to Randomized Algorithms

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<https://madhu.seas.harvard.edu/courses/Fall2020>

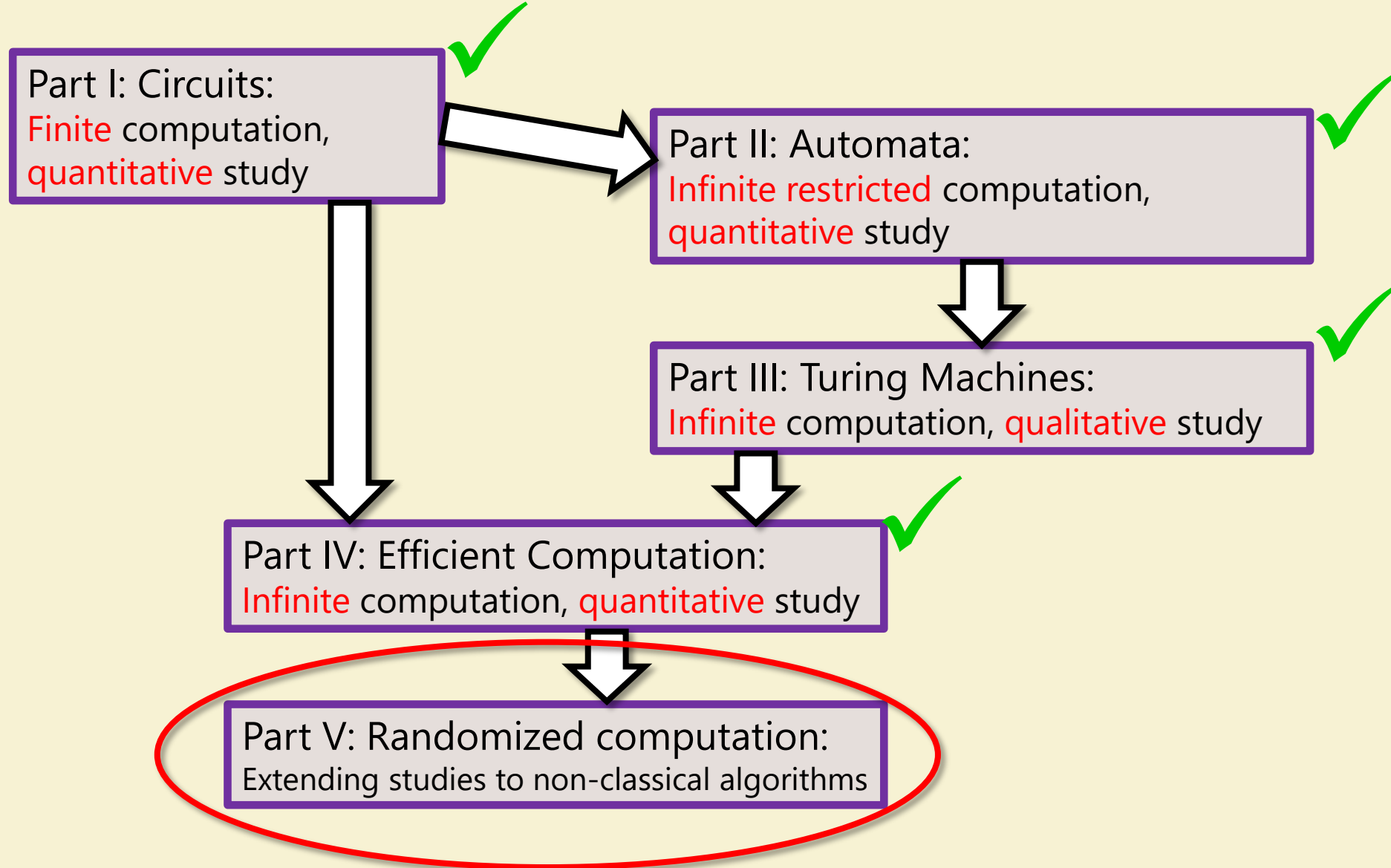
Book: <https://introtcs.org>

How to contact us { The whole staff (faster response): [CS 121 Piazza](#)  
Only the course heads (slower): [cs121.fall2020.course.heads@gmail.com](mailto:cs121.fall2020.course.heads@gmail.com)

# Announcements:

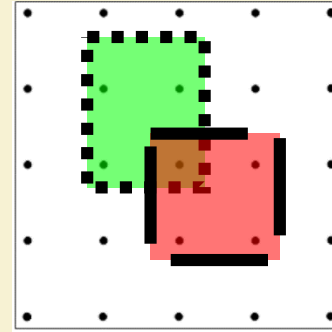
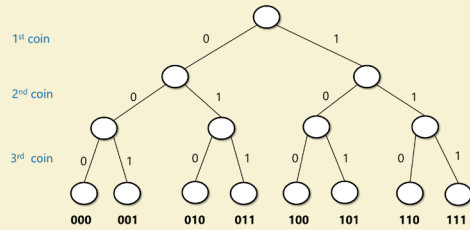
- Midterm 2 graded. Solutions to be posted today-ish.
- Thanks for participating in Midterm Feedback Survey.
- Happy Thanksgiving! (Next lecture Tuesday.)

# Where we are:



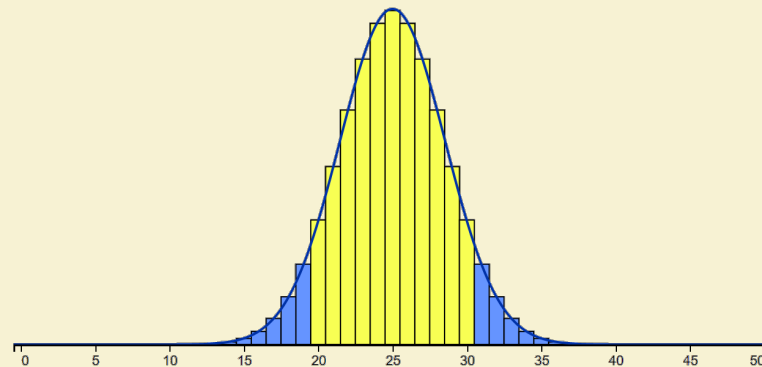
# Last lecture

- Sample space
- Events
- Union/intersection/negation – AND/OR/NOT of events
- Random variables
- Expectation
- Concentration / tail bounds



$$X: \{0,1\}^n \rightarrow \mathbb{R}$$

$$\text{Average value of } X : \mathbb{E}[X] = \sum_{x \in \{0,1\}^n} 2^{-n} X(x) = \sum_{v \in \mathbb{R}} v \cdot \Pr[X = v]$$



# Today:

- Randomized Algorithms
  - Polynomial Identity Testing
  - Approximation for maximum cut
- Randomized Complexity Class BPP
- Properties of randomized computation (Reducing error ...)

# Informal

A **randomized algorithm** has a special operation:

$\text{foo} =$



i.e.  $\text{foo} \sim \{0,1\}$

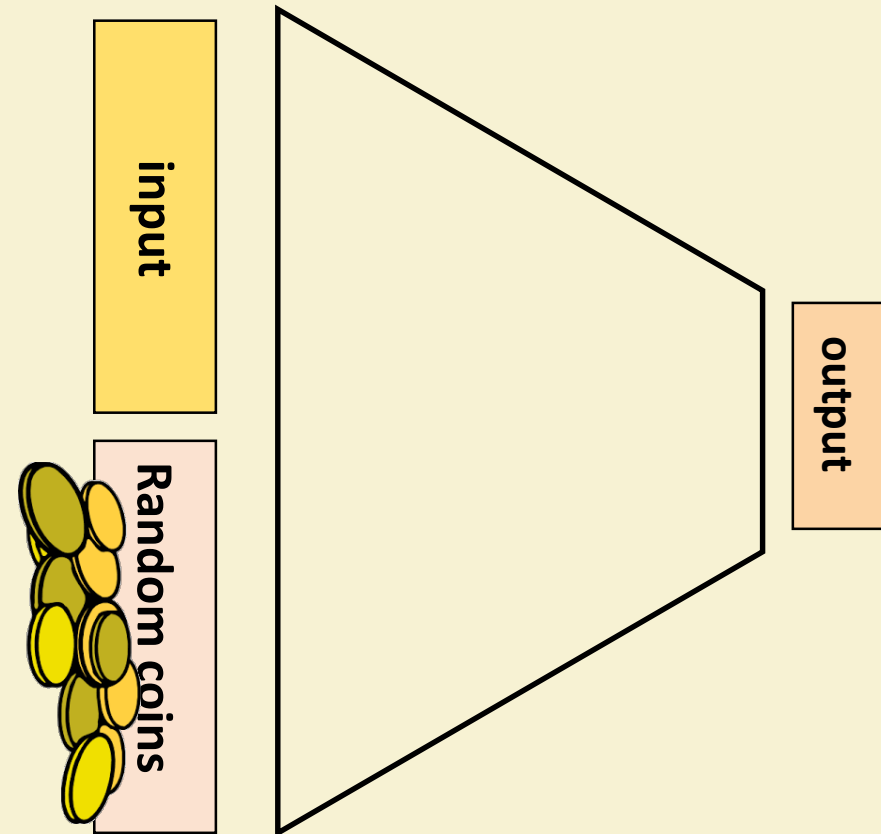
By repeating can choose  $\text{foo} \sim \{0,1\}^n$  or  $\sim [0,1]$

# Randomized algorithms

Two equivalent views:



1. Get input  $x \in \{0,1\}^n$
2. Run alg  $A(x)$  that has special operation  $r_i \leftarrow RAND()$  ( $r_i \sim \{0,1\}$ )



1. Get input  $x \in \{0,1\}^n$
2. Choose  $r \sim \{0,1\}^m$
3. Run deterministic algorithm  $A(x, r)$

$$output = ALG(input, randomness)$$

# Computing a function

Not random input –  
has to work in the worst case

Randomized algorithm  $ALG$  computes  $F$  if for every input  $x$

$$\Pr[ALG(x) = F(x)] \geq \frac{2}{3}$$

Probability over the randomness  
of the algorithm, not the input

The constant  $2/3$  is arbitrary – can  
be replaced by  $0.51$ ,  $0.99$ , even  
 $1 - 2^{-n}$ . Not by  $1/2$ .

BPP: {Boolean functions computable by some randomized algorithm}



# Polynomial Identity Testing: Problem

Q:  $(x + yz)^7 - x^7 - y^7 z^7 = 7x(x + yz)(x^2 + y^2 z^2)(x^2 + xyz + y^2 z^2)$ ?

Standard form:  $(x + yz)(x + yz)(x + yz)(x + yz)(x + yz)(x + yz)(x + yz) - xxxxxxxx - yyyyyyyzzzzzzz - 7x(x + yz)(xx + yyzz)(xx + xyz + yyzz) = 0$ ?

Input  $\varphi$ : an expression like the above, with sums/products of variables.

Output  $PIT(\varphi)$ : 1 iff  $\varphi$  is the 0 polynomial.

Why is the following not a polynomial-time algorithm for PIT?

Alg-PIT( $\varphi$ ):

Multiply everything out,

Add/subtract like terms,

Return 1 iff all terms cancel.

# Polynomial Identity Testing: Algorithm

Q:  $(x + yz)(x + yz)(x + yz)(x + yz)(x + yz)(x + yz)(x + yz) - xxxxxxxx - yyyyyyyzzzzzzz - 7x(x + yz)(xx + yyzz)(xx + xyz + yyzz) = 0?$

Randomized algorithm for PIT (note: polynomial time!):

RandAlg-PIT( $\varphi$ ):

For each variable, choose a random number between 0 and  $3n$ .

Plug in those values and do all the integer arithmetic.

Return 1 iff the result is 0.

Can give the wrong answer! Give an example.

# Polynomial Identity Testing: Correctness (1/2)

Randomized algorithm for PIT:

RandAlg-PIT( $\varphi$ ):

For each variable, choose a random number between 0 and  $3n$ .

Plug in those values and do all the integer arithmetic.

Return 1 iff the result is 0.

Goal:  $\Pr[\text{RandAlg} - \text{PIT}(x) = \text{PIT}(x)] \geq \frac{2}{3}$

If  $\text{PIT}(\varphi) = 1$ ,  $\Pr[\text{RandAlg} - \text{PIT}(\varphi) = 1] = 1$

If  $\text{PIT}(\varphi) = 0$ ...

# Polynomial Identity Testing: Correctness (2/2)

$$(x + yz)(x + yz)(x + yz)(x + yz)(x + yz)(x + yz)(x + yz) - xxxxxxxx - yyyyyyyzzzzzzz = 7x(x + yz)(xx + yyzz)(xx + xyz + yyzz)?$$

RandAlg-PIT( $\varphi$ ):

For each variable, choose a random number between 0 and  $3n$ .

Plug in those values and do all the integer arithmetic.

Return 1 iff the result is 0.

If  $PIT(\varphi) = 0$ : note that the degree is at most  $n$ .

Fact: A 1-variable polynomial  $p \neq 0$  is 0 for  $\leq \deg(p)$  inputs in  $\{0, \dots, 3n\}$

Fact: A  $k$ -variable polynomial  $p \neq 0$  is 0 for  $\leq \deg(p)(3n + 1)^{k-1}$  inputs in  $\{0, \dots, 3n\}^k$

So  $\Pr[\text{RandAlg} - PIT(\varphi) = 0] = \Pr[\varphi(x) = 0] \leq \frac{\deg(p)}{3n+1} < \frac{2}{3}$ .

# Success amplification

We have an algorithm RandAlg-PIT for which:

$$\Pr[\text{RandAlg} - \text{PIT}(\varphi) = F(x)] \geq \frac{2}{3}$$

Give an algorithm BetterRandAlg-PIT for which:

$$\Pr[\text{RandAlg} - \text{PIT}(\varphi) = F(x)] \geq 1 - 2^{-60}$$

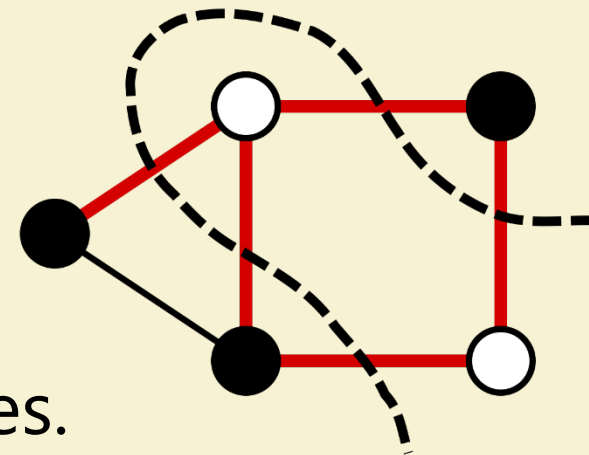
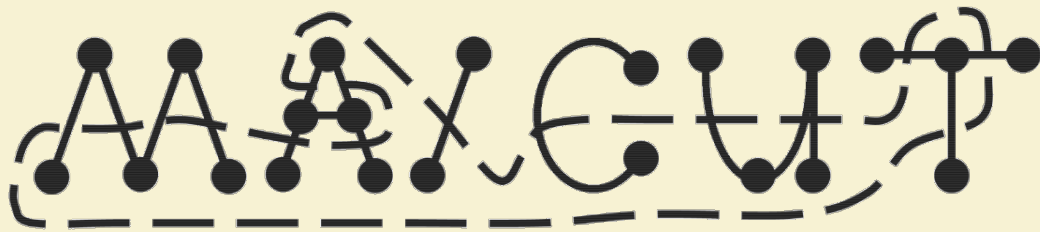
Note:  $\Pr[\textit{failure}] < \Pr[\textit{asteroid hits us this minute}]$

**Bottom line:** randomized algorithms as good as deterministic for all practical purposes.

**Recall:** randomized algorithms – work on worst case inputs.

Randomness is only over the coins of the algorithm.





**Input:** Graph  $G = (V, E)$ .

**Output:** Partition of  $V$  maximizing # of crossing edges.

**Define:**  $OPT(G) = \max_{S \subseteq V} |E(S, \bar{S})|$  to be max # of cut edges.

If  $P \neq NP$ , no poly-time alg computes  $OPT(G)$  / produces cut achieving it.

**We'll show:** Poly-time randomized algorithm that w/ probability  $\geq 0.99$  outputs cut  $S$  that cuts at least  $0.5 \cdot OPT(G)$  edges.

**Best known:** Alg cutting  $\alpha \cdot OPT(G)$  edges for  $\alpha = \min_{0 \leq \theta \leq \pi} \frac{2}{\pi} \cdot \frac{\theta}{1 - \cos \theta} \approx 0.87857$

**Central open question:** is this optimal?

**Input:** Graph  $G = (V, E)$ .

**Output:** Partition of  $V$  maximizing # of crossing edges.

**Define:**  $OPT(G) = \max_{S \subseteq V} |E(S, \bar{S})|$  to be max # of cut edges.

**We'll show:** Poly-time randomized algorithm that w/ probability  $\geq 0.99$  outputs cut  $S$  that cuts at least  $0.5 \cdot OPT(G)$  edges.

**Thm:**  $\exists$  randomized poly time algorithm  $A$  s.t. with prob  $\geq 0.99$

$$A(G) = S \text{ s.t. } |E(S, \bar{S})| \geq |E|/2$$

**Q:** Why does Thm imply what we need to show?



**Thm:**  $\exists$  randomized poly time algorithm  $A$  s.t. with prob  $\geq 0.99$

$$A(G) = S \text{ s.t. } |E(S, \bar{S})| \geq |E|/2$$

**Lemma:**  $\exists$  randomized poly time algorithm  $A$  s.t. if  $S = A(G)$  then

$$\mathbb{E}[|E(S, \bar{S})|] \geq |E|/2$$

Over randomness of  $A$

**Q:** Why does Lemma not immediately imply the theorem?

**Lemma:**  $\exists$  randomized poly time algorithm  $A$  s.t. if  $S = A(G)$  then

$$\mathbb{E}[|E(S, \bar{S})|] \geq |E|/2$$

**Proof:** Given  $G$  on  $n$  vertices,  $A$  picks  $x \sim \{0,1\}^n$  and output  $S = \{i \mid x_i = 1\}$

For every edge  $(i,j) \in E$ , define  $X_{i,j} = \begin{cases} 1, & x_i \neq x_j \\ 0, & x_i = x_j \end{cases}$

**Q:** What is  $\mathbb{E}[X_{i,j}]$ ?     **A:**  $1/2$

**Q:** Prove that  $|E(S, \bar{S})| = \sum_{(i,j) \in E} X_{i,j}$



# From expectation to high probability

**Given:** Poly-time alg  $A$  s.t. that  $\mathbb{E} [\text{val}(A(G))] \geq k$

**Goal:** Poly-time alg  $B$  s.t. that  $\Pr[\text{val}(B(G)) \geq k] \geq 0.99$

*Success  
amplification*

Algorithm  $B$

**Input:**  $G$

$m$ : # of edges

**for**  $i = 1 \dots 1000m$ :

$S_i \leftarrow A(G)$  # *fresh randomness each time*

**return**  $S_i$  maximizing edges cut

**Given:** Poly-time alg  $A$  s.t. that  $\mathbb{E} [\text{val}(A(G))] \geq k$

**Goal:** Poly-time alg  $B$  s.t. that  $\Pr[\text{val}(B(G)) \geq k] \geq 0.99$

Algorithm  $B$

**Input:**  $G$

**for**  $i = 1 \dots 1000m$ :

$S_i \leftarrow A(G)$  # *fresh randomness each time*

**return**  $S_i$  maximizing edges cut

**Lemma:**  $\Pr[\text{val}(A(G)) \geq k] \geq 1/m$

**Q:** Prove that Lemma  $\Rightarrow \Pr[\text{val}(B(G)) \geq k] \geq 0.99$

**Given:** Poly-time alg  $A$  s.t. that  $\mathbb{E} [\text{val}(A(G))] \geq k$

**Lemma:**  $\Pr[\text{val}(A(G)) \geq k] \geq 1/m$

**Proof:** Suppose that  $\Pr[\text{val}(A(G)) \geq k] < \frac{1}{m}$

then

$$\mathbb{E}[\text{val}(A(G))] < \underbrace{\frac{1}{m} \cdot m}_{\text{Contribution from case that } \text{val}(A(G)) \geq k} + \underbrace{1 \cdot (k - 1)}_{\text{Contribution from case that } \text{val}(A(G)) < k - 1} = k$$



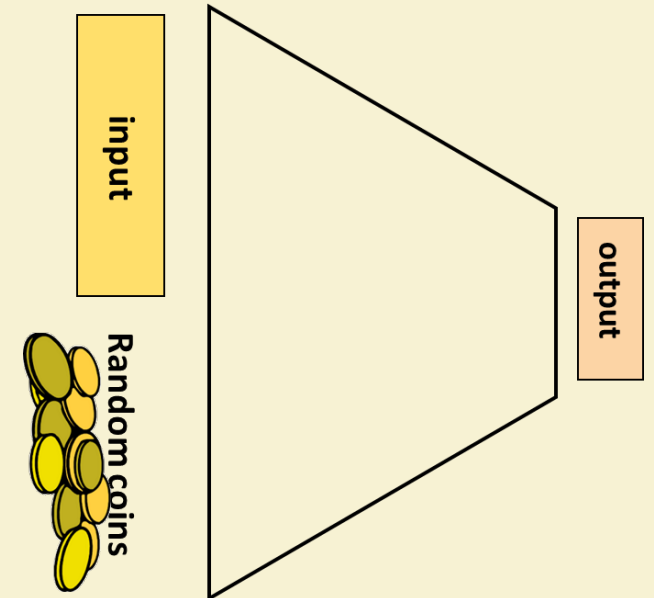
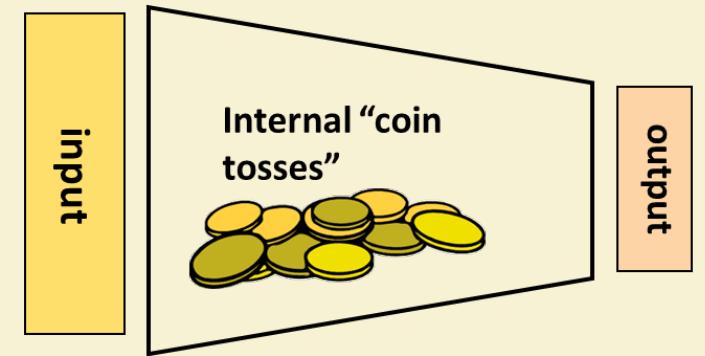
# Recap

**Def:**  $F: \{0,1\}^* \rightarrow \{0,1\}$  is in *BPP* is there is a poly-time randomized algorithm  $A$  s.t.  $\forall n \forall x \in \{0,1\}^n$

$$\Pr_{A's \text{ randomness}} [A(x) = F(x)] \geq \frac{2}{3}$$

**Def:**  $F: \{0,1\}^* \rightarrow \{0,1\}$  is in *BPP* is there is a poly-time algorithm  $A$ , poly  $q(n)$  s.t.  $\forall n \forall x \in \{0,1\}^n$

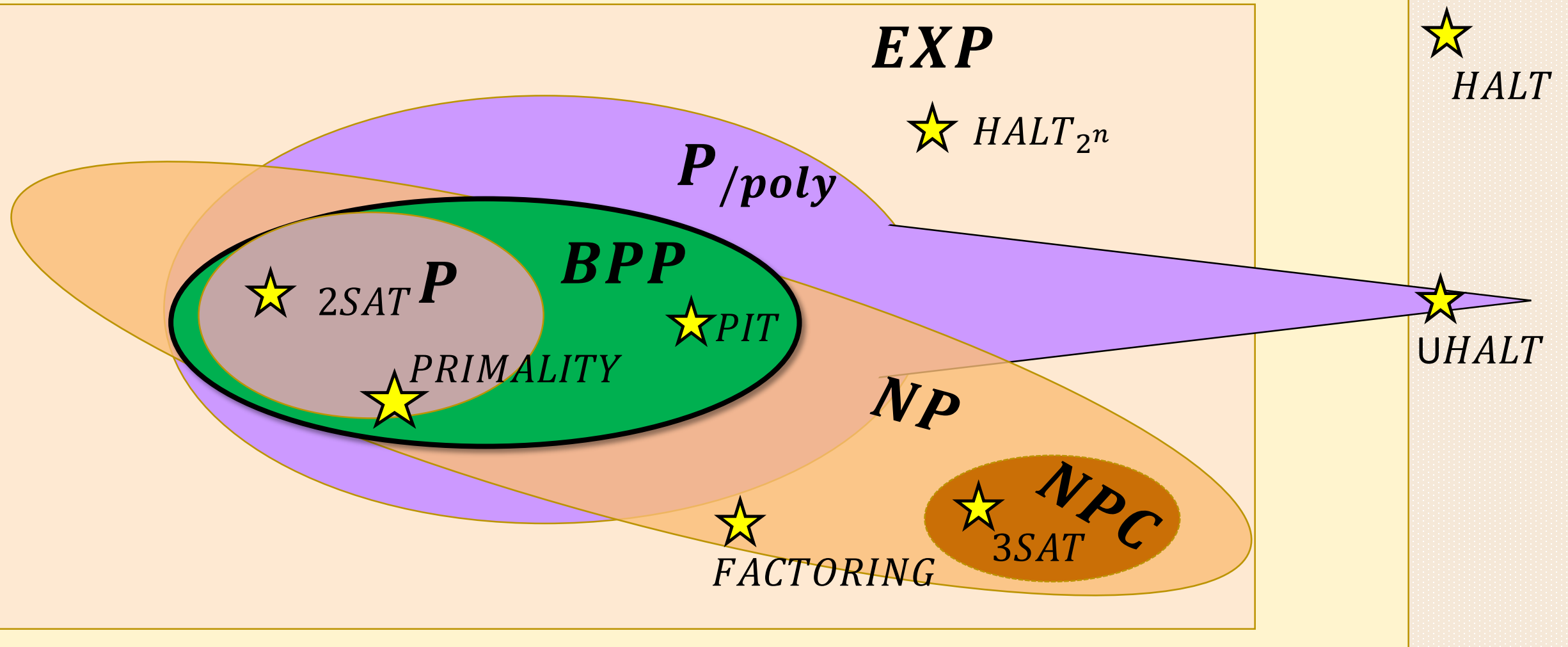
$$\Pr_{r \sim \{0,1\}^{q(n)}} [A(x; r) = F(x)] \geq \frac{2}{3}$$



All functions  $F: \{0,1\}^* \rightarrow \{0,1\}$

**R** Computable functions

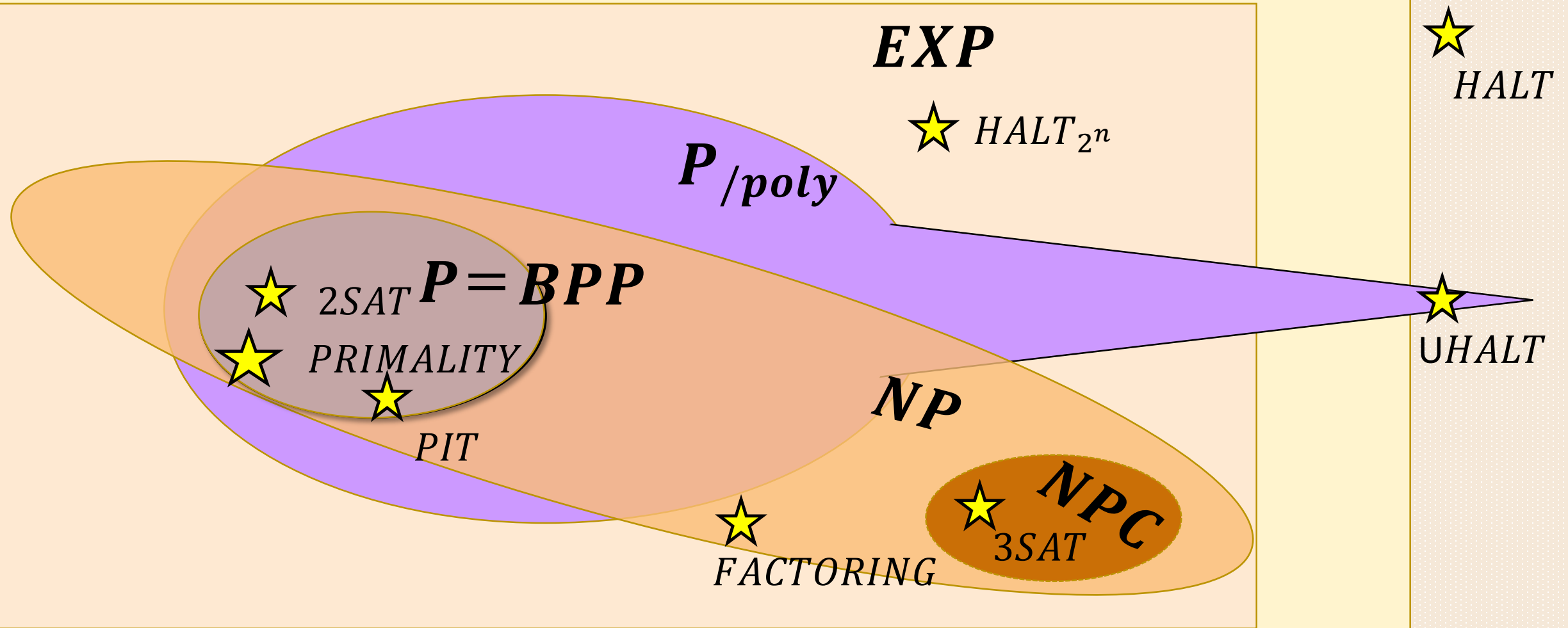
★  $HALT_{2^{2^n}}$



All functions  $F: \{0,1\}^* \rightarrow \{0,1\}$

**R** Computable functions

★  $HALT_{2^{2^n}}$



**Unknown but believed to be true**



# Next Lecture

- BPP vs EXP
- BPP vs P/poly
- BPP vs NP





