

## [Braverman-Rao] Coding Scheme

• ~~States of A are~~

Terminology: Alice's Input = set of edges in binary tree of depth  $n$ ;  
 one edge out of every node at level  $i$  for even  $i$  going to level  $(i+1)$ .

Bob: similar.

States Alice:  $S_1 \subseteq S_2 \subseteq S_3 \dots$

$S_i$  = subset of Alice's edges; ones she thinks are relevant.

Evolution of  $S_i$ : Alice remembers  $S_i$ ;

compute  $\tilde{T}_i$  = guess of Bob's state

Uses  $\underline{S_i \cup \tilde{T}_i}$  to determine  $S_{i+1}$ .

↓  
 gives unique path from root (+ isolated edges/paths).

$v(S_i \cup \tilde{T}_i)$  = bottom vertex.

If  $v$  is even vertex then add edge out of  $v$  to  $S_i$  to get  $S_{i+1}$  else  
 $C_i = C$

Encoding of  $S_i$ :

① Obvious idea:  $S_i = S_{i-1} \cup e_i$

Need to send only  $e_i$  in round  $i$ :  $\Omega(n)$  bits!

② Can encode  $e_i$  as  $(j, b_1, b_2)$  st.

•  $e_j =$  grandparent edge of  $e_i$

•  $b_1, b_2 =$  specify  $e_i$  given  $e_j$ .

•  $\Theta(\log n)$  bits.

③ Final Encoding

-  $\Delta_i = i - j$  where  $e_j =$  grandparent of  $e_i$ .

- Send  $\Delta_i$ ,

- Still uses  $O(\log n)$  bits to send  $\Delta_i$  in worst case.

- But amortized complexity ~~is~~ <sup>for correct</sup> ~~good~~ path =  $O(\log n)$ .

Need some care.

- if # rounds =  $R = C \cdot n$ , then  $\sum_{\substack{\text{on} \\ \text{correct path}}} \Delta_i \leq R$

$\Rightarrow \sum_{\substack{\text{on} \\ \text{correct path}}} \log \Delta_i \leq n \log C$  (convexity)  $\ll C \cdot n$ .

Full Protocol

State:  $S_i = A_i + \overset{\text{set of added edges}}{e_i} \overset{\text{pending prefix (including } e_i)}{\leftarrow}$

Use  $A_i \cup \tilde{B}_i$  to determine next edge to send. If next edge =  $e_i$  then send few more bits about  $e_i$  ;  
 else **abort**  $e_i$ , start sending next edge ;  
 if  $e_i$  completely sent then  $A_{i+1} = A_i \cup \{e_i\}$ .

**abort** crucial to analysis

Analysis: key notions:

$\overset{A}{l}(t) \triangleq$  Decode-length  $(a_2, a_4, a_6, \dots, a_t) =$  largest  $l$  s.t.

$$(a_2, a_4, \dots, a_l) = (b_2, b_4, \dots, b_l).$$

$\overset{B}{l}(t) \triangleq$  Decode-length  $(b_1, b_3, b_5, \dots, b_t) =$  largest  $l$  s.t.

$$(b_1, \dots, b_l) = (a_1, \dots, a_l)$$

$$m(t) \triangleq \min \{ \overset{A}{l}(t), \overset{B}{l}(t) \}.$$

Note:  $m(t)$  not monotone with  $t$  ; but as  $t \rightarrow \infty$   
 $m(t) \rightarrow \infty$ .

$$\boxed{m^{-1}(l) = t \text{ s.t. } \forall t' \geq t \quad m(t') \geq l.}$$

-  $t(i)$  = smallest round  $i$  for which first  $i$  edges of  $P$  are in  $A_t \cup B_t$ .

-  $N(t_1, t_2)$  = # errors in rounds  $(t_1, \dots, t_2)$

Key Observations: ① Once  $t > t(i)$ , only a matter of time till the  $i+1^{st}$  edge enters  $A_t \cup B_t$ .

Main (Only) Obstacle to this is  $N(t, t')$

② if  $t - m(t)$  large then  $N(m(t)+1, t)$  large.

[purely function of tree code, not protocol].

③ if  $t < t(k)$  then  $N(t, t) \geq (t - k + 1) \cdot \frac{\delta}{2}$

$\delta$  = dist. of tree code.

[follows from ① + ②]

$$(t - k + 1 - \sum c \log \Delta_i) \left(\frac{\delta}{2}\right)$$

$$[\exists \Delta_1, \dots, \Delta_k \text{ s.t. } \sum \Delta_i \leq t] \dots$$

# Schulman vs. Braverman-Rao (in joke form)

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- (A) Suppose you ask me "Proof of Fermat's Theorem = ?"
- (B) I start filling the board with "Group Theory", "Galois Groups", "Modular Forms", "Semi-stable Representations"
- (C) You stop me (after 3 hours) and say "I mean Fermat's little Theorem" ....

Schulman approach.

- (1) Be embarrassed
- (2) Erase the board & start again

Braverman Rao Approach

- (1) Be shameless & continue [Use existing contents of board "Group Theory"]
- (2) Focus more on "Basic Group Theory", "Pigeonhole Principle" etc.

- (3) & just in case I misheard you on round (C)

Throw in a few more steps of F. Last. T.  
= "Semi-stable Representations",  
"Galois Cohomology" ....

Shockingly: latter is the right approach? 😊

# Main Deficiency with Schulman / Braverman-Rao

- ① Tree codes not explicit
- ② Decoding of Tree Codes not explicit.

Fixed (to some extent) by

[Brakerski-Kalai] ; [Gha/Hari-Haeupler].

↑  
Key Idea: ~~Sender~~ <sup>①</sup> A + B use private randomness.  
 ② Hash then check.

Recall Alice has states  $S_1^A, S_2^A, \dots, S_t^A, \dots$   
 & Bob  $S_1^B, S_2^B, \dots, S_t^B$

- At round  $t$  Alice hopes to have communicated  $S_t^A$  to Bob ; and recovered  $S_t^B$ .

- Brakerski-Kalai Idea: Start with both players knowing

$$\begin{array}{ccc}
 (S_{t-1}^A, S_{t-1}^B) & & \\
 \downarrow \cong \downarrow & & \\
 (S_t^A, S_t^B) & , & (S_t^A, S_t^B)
 \end{array}$$

Use hash function  $h$  & hash value to check equality  
 $\underline{h, h(S_t^A, S_t^B)}$

if  $|S_A, S_B| \approx n$ ,  $|h, h(\ )| \approx \log n$ .

- But  $|(S_A | S_{A-1})| \approx O(1)$ ? (in Schulman, B-R)

- take every  $(\log n)^{\#}$  round state in  $\downarrow \pi \downarrow$ ;

so that  $(S_A | S_{A-1}) \approx O(\log n)$ ;

So hashing cost =  $O(\text{State Progress})$ .

- Use Schulman-like progress measure to roll back interaction or make progress.

Theorems: [Brakerski-Kalai] Randomized efficient communication scheme with positive rate & error

[Ghaffari-Haeupler] " "

" & error  $\rightarrow \frac{1}{4}$ .

[Haeupler] Randomized scheme (with no tree coding) with rate  $1 - \tilde{O}(\sqrt{\epsilon})$  & error  $\leq \epsilon$ .