

Harvard University
Computer Science 121
Section Handout 10

Overview

This week we will focus on reviewing the core concepts involved with **NP**, polynomial-time reducibility, and **NP**-Completeness.

1 Concept Review

1.1 Nondeterministic Polynomial Time

A verifier for a language L is an algorithm V such that $L = \{x : V \text{ accepts } \langle x, y \rangle \text{ for some string } y\}$. A polynomial time verifier is the one that runs in time polynomial in $|x|$ on input $\langle x, y \rangle$.

Definition 1.1 *NP is the class of languages with polynomial time verifiers.*

Usually there are two equivalent describes of **NP**, however we do always use the one above.

1.2 Polynomial-time reducibility and NP-completeness

Definition 1.2 *We say a language $L_1 \subseteq \Sigma_1^*$ is polynomial-time reducible to another language $L_2 \subseteq \Sigma_2^*$, (i.e., $L_1 \leq_P L_2$) if there is a polynomial-time computable function $f : \Sigma_1^* \rightarrow \Sigma_2^*$ such that for every $x \in \Sigma_1^*$, $x \in L_1$ iff $f(x) \in L_2$.*

In this definition, it requires the reductions to be polynomial time, which is different with the previous definition of computable reductions.

Definition 1.3 *A language L is NP-complete if and only if,*

- (1) $L \in \mathbf{NP}$,
- (2) *Every language in NP is polynomial-time reducible to L .*

Intuitively, **NP**-complete languages are the hardest **NP** languages. If there is an **NP**-complete problem which can be resolved in polynomial time, then $\mathbf{P} = \mathbf{NP}$.

1.3 Cook-Levin Theorem

Theorem 1.1 *SAT (Boolean satisfiability) is NP-complete.*

To prove this theorem, we have to show that every **NP** problem is polynomial reducible to SAT. Let L be an **NP** problem which decided by a nondeterministic TM M , then the main idea of the reduction is to describe computations of M by boolean variables.

2 Exercises

Exercise 2.1 Determine, with proof, whether **NP** is closed under Kleene star.

Exercise 2.2 We define HITTING SET to be the problem of determining, given a family of sets $\mathcal{F} = \{S_1, S_2, \dots, S_n\}$ and an integer B , whether there is a set H with B or fewer elements such that for each $S_i \in \mathcal{F}$ we have $S_i \cap H \neq \emptyset$. Prove that HITTING SET is NP-complete.

Hint: Reduce from VERTEX COVER.

Exercise 2.3 We have shown in class that the languages CLIQUE = $\{(G, k) : \text{The graph } G \text{ contains a clique of size } k\}$ and TSP = $\{(m, D, B) : \text{There exists a tour of the } m \text{ cities with distance function } D \text{ of length } \leq B\}$ are in **NP**. Now show that if $\mathbf{P} = \mathbf{NP}$, then

- (a) Given a graph G , we can determine in polynomial time the size of the largest clique in G
- (b) Given m cities with distance function D , we can determine in polynomial time the length of the shortest tour of all the cities

Exercise 2.4 Given a list of currencies $1, \dots, n$, and a matrix M with positive rational entries, where $M_{i,j}$ is the exchange rate between currencies i and j , we say there is an arbitrage of value at least v if there exists a sequence of currency exchanges $(a_1, \dots, a_k, a_{k+1} = a_1)$, $k \geq 2$, a_1, \dots, a_k being distinct currencies, such that

$$\prod_{i=1}^k M_{a_i, a_{i+1}} \geq v.$$

In the ARBITRAGE problem, you are given some matrix M and a positive rational number v , and are asked to determine whether there is an arbitrage of value v . In the real world, if there exists an arbitrage value greater than 1, then some sequence of currency exchanges allows one to make essentially risk-free money.

- (a) Show that ARBITRAGE can be solved in polynomial-time if $M_{i,j} \leq 1$ for all currencies i, j .
- (b) Show that ARBITRAGE is **NP**-complete. (*Hint: Reduce from HAMPATH, which is shown to be NP-complete in Sipser.*)