

## Problem Set 3

Assigned: Fri. Mar. 5, 2010

Due: Thu. Mar. 25, 2010 (5 PM sharp)

- You must *type* your solutions. L<sup>A</sup>T<sub>E</sub>X, Microsoft Word, and plain ascii are all acceptable. Submit your solutions *via email* to `cs221-hw@seas.harvard.edu`. If you use L<sup>A</sup>T<sub>E</sub>X, please submit both the compiled file (`.pdf`) and the source (`.tex`). Please name your files `PS3-yourlastname.*`.
- Strive for clarity and conciseness in your solutions, emphasizing the main ideas over low-level details. Do not despair if you cannot solve all the problems! Difficult problems are included to stimulate your thinking and for your enjoyment, not to overwork you. \*'ed problems are extra credit.

**Problem 1. (regular expression problems)** Consider regular expressions  $R$  with concatenation, union, Kleene star, and exponentiation. Recall that in class we showed the language  $\text{ALL}_{\text{REX}}^\uparrow = \{R : L(R) = \Sigma^*\}$  is **EXSPACE**-complete. Here we classify the complexity of variants of this problem.

1. Show that if we do not allow exponentiation, the problem becomes **PSPACE**-complete.
2. Show that the equivalence problem  $\{(R_1, R_2) : L(R_1) = L(R_2)\}$  where  $R_1$  and  $R_2$  are regular expressions with exponentiation but no Kleene stars is **co-NEXP**-complete.

**Problem 2. (circuit complexity of a threshold function)** Consider the threshold function  $\text{Th}_2(x_1, \dots, x_n)$ , defined to be 1 iff at least two of the input variables are 1.

1. Prove that  $\text{size}_{\{\wedge, \vee, \neg\}}(\text{Th}_2) \leq 4n + O(1)$ . (Recall that our measure of circuit size includes the input variables.)
2. Prove that  $\text{size}_{B_2}(\text{Th}_2) \geq 3n - O(1)$ , where  $B_2$  is the full binary basis. (Hint: show that if two variables are inputs to some binary gate, then at least one of them must be used elsewhere in the circuit.)

**Problem 3. (branching programs)** A *branching program* over variables  $\{x_1, \dots, x_n\}$  is a directed acyclic graph where every node is labelled with a variable  $x_i$ , or is labelled with an output in  $\{0, 1\}$ . Variable nodes are required to have outdegree 2 and output nodes must have outdegree 0. The two edges leaving every variable node are also labelled 0 and 1. One of the nodes is designated as the start node. Such a branching program defines a function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$ , where  $f(\alpha)$  is defined as follows. We begin at the start node, then follow the path determined by taking the outgoing edge from each variable node  $v$  according to the value  $\alpha$  assigns to the variable labelling  $v$ . Eventually we reach an output node, and set  $f(\alpha)$  to be the value at that node.

1. Characterize the class of languages decidable by polynomial-sized branching programs in terms of one of the complexity classes we have seen, augmented with advice.
2. A branching program has *width*  $w$  if its nodes can be partitioned into layers  $L_1, L_2, \dots$  each of size up to  $w$ , such that every edge leaving a node in layer  $L_i$  leads to a node in  $L_{i+1}$ .

Show that every language decidable by a constant-width, polynomial-sized branching program is in  $\mathbf{NC}_1$ . (*Barrington's Theorem* says that the converse is also true, giving a surprising alternate characterization of  $\mathbf{NC}_1$ . Students who took AM106/206 in Fall 2009 saw this as an application of permutation groups on a problem set.)

**Problem 4. (circuit lower bounds for high classes)**

1. Prove that  $\mathbf{EXPSPACE} \not\subseteq \mathbf{SIZE}(2^n/2n)$ .
2. Prove that for every constant  $c$ ,  $\mathbf{PH} \not\subseteq \mathbf{SIZE}(n^c)$ .
3. Prove that for every constant  $c$ ,  $\Sigma_2^P \not\subseteq \mathbf{SIZE}(n^c)$ .

Recall that the best circuit lower bound we have for a function in  $\mathbf{NP}$  is only  $6n - o(n)$ .

**Problem 5. (one-sided error vs. two-sided error)** Show that if  $\mathbf{NP} \subseteq \mathbf{BPP}$ , then  $\mathbf{NP} = \mathbf{RP}$ .

**Problem 6. (refined hierarchy theorem for circuit size\*)** In Arora–Barak (Thm 6.22), a hierarchy theorem for circuit size is proven, showing that a polynomial or even multiplicative factor in circuit size allows computing more functions. Tighten this hierarchy theorem as much as you can; the amount of extra credit will depend on how tight a hierarchy theorem you get.