

## Problem Set 3

Assigned: Mar. 7, 2007

Due: Mar. 21, 2007 (1 PM)

- Recall that your problem set solutions must be typed. You can email your solutions to `cs225-hw@eecs.harvard.edu`, or turn in it to Carol Harlow in MD 343. You may write formulas or diagrams by hand. Aim for clarity and conciseness in your solutions, emphasizing the main ideas over low-level details.
- If you use  $\text{\LaTeX}$ , please submit both the source (`.tex`) and the compiled file (`.ps` or `.pdf`). Name your files `PS3-yourlastname`.
- Starred problems are extra credit.

**Problem 1. (Bipartite vs. Nonbipartite Expanders)** Show that constructing bipartite expanders is equivalent to constructing (standard, nonbipartite) expanders. That is, show how given an explicit construction of one of the following, you can obtain an explicit construction of the other:

- $D$ -regular  $(\alpha N, A)$  expanders on  $N$  vertices for infinitely many  $N$ , where  $\alpha > 0$ ,  $A > 1$ , and  $D$  are constants independent of  $N$ .
- $D$ -regular (on both sides)  $(\alpha N, A)$  bipartite expanders with  $N$  vertices on each side for infinitely many  $N$ , where  $\alpha > 0$ ,  $A > 1$ , and  $D$  are constants independent of  $N$ .

(Your transformations need not preserve the constants.)

**Problem 2. (A “Constant-Sized” Expander)**

- Let  $\mathbb{F}$  be a finite field. Consider a graph  $G$  with vertex set  $\mathbb{F}^2$  and edge set  $\{(a, b), (c, d) : ac = b + d\}$ . That is, we connect vertex  $(a, b)$  to all points on the line  $y = ax - b$ . Prove that  $G$  is  $|\mathbb{F}|$ -regular and  $\lambda(G) \leq 1/\sqrt{|\mathbb{F}|}$ . (Hint: consider  $G^2$ .)
- Show that if  $|\mathbb{F}|$  is sufficiently large (but still constant), then by applying appropriate operations to  $G$ , we can obtain a base graph for the expander construction given in class, i.e. a  $(D^8, D, 1/8)$  graph for some constant  $D$ .

**Problem 3. (The Replacement Product)** Given a  $D_1$ -regular graph  $G_1$  on  $N_1$  vertices and a  $D_2$ -regular graph  $G_2$  on  $D_1$  vertices, consider the following graph  $G_1 \textcircled{+} G_2$  on vertex set  $[N_1] \times [D_1]$ : vertex  $(u, i)$  is connected to  $(v, j)$  iff (a)  $u = v$  and  $(i, j)$  is an edge in  $G_2$ , or (b)  $v$  is the  $i$ 'th neighbor of  $u$  in  $G_1$  and  $u$  is the  $j$ 'th neighbor of  $v$ . That is, we “replace” each vertex  $v$  in  $G_1$  with a copy of  $G_2$ , associating each edge incident to  $v$  with one vertex of  $G_2$ .

- (a). Prove that there is a function  $g$  such that if  $\lambda(G_1) \leq \lambda_1 < 1$  and  $\lambda(G_2) \leq \lambda_2 < 1$ , then  $\lambda(G_1 \boxplus G_2) < g(\lambda_1, \lambda_2, D_2)$ . (Hint: Note that  $(G_1 \boxplus G_2)^3$  has  $G_1 \boxtimes G_2$  as a subgraph.)
- (b). Show how to convert an explicit construction of constant-degree (spectral) expanders into an explicit construction of degree 3 (spectral) expanders.
- (c). Prove that a dependence on  $D_2$  in Part (a) is necessary by showing that  $\lambda(G_1 \boxplus G_2) \geq 1 - O(1/D_2)$  for sufficiently large  $N_1$ .

**Problem 4. (Unbalanced Vertex Expanders and Data Structures)** Consider a  $(K, (1 - \varepsilon)D)$  bipartite vertex expander  $G$  with  $N$  left vertices,  $M$  right vertices, and left degree  $D$ .

- (a). For a set  $S$  of left vertices, a  $y \in N(S)$  is called a *unique neighbor* of  $S$  if  $y$  is incident to exactly one edge from  $S$ . Prove that every left-set  $S$  of size at most  $K$  has at least  $(1 - 2\varepsilon)D|S|$  unique neighbors.
- (b). For a set  $S$  of size at most  $K/2$ , prove that at most  $|S|/2$  vertices outside  $S$  have at least  $\delta D$  neighbors in  $N(S)$ , for  $\delta = O(\varepsilon)$ .

Now we'll see a beautiful application of such expanders to data structures. Suppose we want to store a small subset  $S$  of a large universe  $[N]$  such that we can test membership in  $S$  by probing just 1 bit of our data structure. A trivial way to achieve this is to store the characteristic vector of  $S$ , but this requires  $N$  bits of storage. The hashing-based data structures mentioned earlier in the course only require storing  $O(|S|)$  words, each of  $O(\log N)$  bits, but testing membership requires reading an entire word (rather than just one bit.)

Our data structure will consist of  $M$  bits, which we think of as a  $\{0, 1\}$ -assignment to the right vertices of our expander. This assignment will have the following property.

**Property II:** For all left vertices  $x$ , all but a  $\delta = O(\varepsilon)$  fraction of the neighbors of  $x$  are assigned the value  $\chi_S(x)$  (where  $\chi_S(x) = 1$  iff  $x \in S$ ).

- (c). Show that if we store an assignment satisfying Property II, then we can probabilistically test membership in  $S$  with error probability  $\delta$  by reading just one bit of the data structure.
- (d). Show that an assignment satisfying Property II exists provided  $|S| \leq K/2$ . (Hint: first assign 1 to all of  $S$ 's neighbors and 0 to all its nonneighbors, then try to correct the errors.)

It turns out that the needed expanders exist with  $M = O(K \log N)$  (for any constant  $\varepsilon$ ), so the size of this data structure matches the hashing-based scheme while admitting 1-bit probes. However, note that such bipartite vertex expanders do *not* follow from explicit spectral expanders as given in class, because the latter do not provide vertex expansion beyond  $D/2$  nor do they yield highly imbalanced expanders (with  $M \ll N$ ) as needed here. But later in the course, we will see how to explicitly construct expanders that are quite good for this application (specifically, with  $M = K^{1.01} \cdot \text{polylog} N$ ).

**Problem 5. (Error Reduction For Free\*)** Show that if a problem has a **BPP** algorithm with constant error probability, then it has a **BPP** algorithm with error probability  $1/n$  which uses *exactly* the same number of random bits.